



Administered by:  
Lockton Risk Services  
(800) 250-7876

**CLASS ACTION/MASS TORT  
SUPPLEMENTAL INFORMATION**

Please complete the following regarding class action or potential class action representations in the last five years:

Class Name <sup>1</sup>	Certified <sup>2</sup>	Class Description <sup>3</sup>	Lead Counsel <sup>4</sup>	Co-Counsel <sup>5</sup>	Other Role <sup>6</sup>	#Maximum Participants <sup>7</sup>	#Participants Represented <sup>8</sup>	Individual Settlement Value <sup>9</sup>	Overall Class Settlement Value <sup>10</sup>

Attach a narrative describing the risk management procedures in use in screening potential clients and advising of statute of limitation deadlines when declining a representation.

Also, how does the firm handle out of state clients in class actions to be sure that the applicable state regulations and laws are adhered to?

Does the law firm intend to accept class action representations in the future?  YES  NO

\_\_\_\_\_  
Signature of Applicant

\_\_\_\_\_  
Date

<sup>1</sup> Name of Class or potential class?

<sup>2</sup> Certified as a class?

<sup>3</sup> Brief description of class nature e.g. consumer fraud, product injury, discrimination, prescription drug injury, etc?

<sup>4-5</sup> Specify: Lead Counsel Y or N? Co-Counsel Y or N?

<sup>6</sup> Law firm's role, if other, than lead or co-counsel e.g. research, depositions, interviews?

<sup>7</sup> Number of actual/potential class participants?

<sup>8</sup> Number of class participants represented by the law firm?

<sup>9</sup> Size of actual or potential individual settlements/judgments?

<sup>10</sup> Overall size or potential size of entire class settlement/judgment?