



Administered by:
Lockton Risk Services
800-250-7876

**ENVIRONMENTAL
SUPPLEMENTAL APPLICATION**

Name of Applicant:

| | | | |
|----|---|--------------------------|--------------------------|
| 1. | In the past five (5) years, has any current or past lawyer of the applicant firm rendered written opinions to clients on the following: | YES | NO |
| | A. Land use, zoning or any other real property regulatory matters? | <input type="checkbox"/> | <input type="checkbox"/> |
| | B. Compliance with environmental laws? | <input type="checkbox"/> | <input type="checkbox"/> |
| | C. Environmental matters? | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. | In the past five (5) years, has any current or past lawyer of the applicant firm provided any professional services related to the following: | | |
| | A. Potential Superfund liability or liens under Federal law resulting from Superfund liability? | <input type="checkbox"/> | <input type="checkbox"/> |
| | B. Waste management projects? | <input type="checkbox"/> | <input type="checkbox"/> |
| | C. Written opinions connected with environmental matters in transactions? | <input type="checkbox"/> | <input type="checkbox"/> |
| | D. SEC reporting requirements connected with environmental matters? | <input type="checkbox"/> | <input type="checkbox"/> |
| | E. Potential liability under applicable state environmental law, (e.g., State Superfund and Super lien Statutes)? | <input type="checkbox"/> | <input type="checkbox"/> |
| | F. Applicability of relevant state law restricting transfer of property based upon environmental matters, (e.g., ECRA)? | <input type="checkbox"/> | <input type="checkbox"/> |
| | G. Environmental compliance audits? | <input type="checkbox"/> | <input type="checkbox"/> |
| | H. Environmental due diligence audits? | <input type="checkbox"/> | <input type="checkbox"/> |

If the answer to any of the above is YES, please explain on your letterhead.

| | | | |
|-------|---|--------------------------|--------------------------|
| 3. A. | Does the applicant's legal services in connection with a property transfer or leasing transaction include a regularly followed procedure to evaluate such issues as: | | |
| | 1) whether the type of business in question creates, or may in the past have created environmental problems? If "Yes", is the procedure in writing? | <input type="checkbox"/> | <input type="checkbox"/> |
| | 2) whether any real or personal property, owned or leased, now or in the past, or property to be acquired, is likely to be contaminated by hazardous substances, (e.g., asbestos, lead or PCB's)? If "Yes", is the procedure in writing? | <input type="checkbox"/> | <input type="checkbox"/> |
| | 3) whether any specific site locations, owned or leased, now or in the past, or properties to be acquired, are located in, or adjacent to, ecologically sensitive areas (such as wetlands, floodplains, aquifers or conservation areas, etc.)? If "Yes", is the procedure in writing? | <input type="checkbox"/> | <input type="checkbox"/> |
| | 4) whether any corporate entity connected to a client, including all past and present parents, subsidiaries, divisions and spin-offs thereof, has ever been fined, penalized, cited or sued for violating any federal, state or local environmental law or regulation? If "Yes", is the procedure in writing? | <input type="checkbox"/> | <input type="checkbox"/> |
| | B. Does the applicant follow a routine procedure which requires the investigation of potential, material environmental risks before resolution of price and other central terms and conditions in the client's transaction? If "Yes", is the procedure in writing? | <input type="checkbox"/> | <input type="checkbox"/> |
| | C. Does the applicant follow a routine procedure which requires its attorneys to perform a thorough review with the client of the economic impact of known environmental considerations, and of the potential benefits of further identification or quantification of environmental risks, in property transfers or leasing transactions with potential, material environmental exposure? | <input type="checkbox"/> | <input type="checkbox"/> |

If "Yes", is the procedure in writing?

- D. Does the applicant follow routine procedures which address the conduct of employees relative to the handling of material confidential information in environmental audits and in the investigation of parties related to the transaction?

If "Yes", is the procedure in writing?

- E. Does the applicant follow a routine procedure requiring the preservation of the written records of the firm in connection with documentation related to disclosure of site contamination by potential buyers and potential lessees?

If "Yes", is the procedure in writing?

- F. Does the applicant follow a routine procedure requiring the preservation of the written records of the firm in connection with documentation related to the investigation of sites (for buyers or lessees) to discover environmental damage?

If "Yes", is the procedure in writing?

- G. Does the applicant follow a routine procedure requiring its real estate lawyers to participate in in-house seminars on current environmental topics and developments, or to attend continuing legal education seminars on current environmental developments?

I understand the information submitted herein becomes a part of my Professional Liability Insurance Application and is subject to the same warranty and conditions.

THE APPLICANT REPRESENTS THAT THE ABOVE STATEMENTS AND FACTS ARE TRUE AND THAT NO MATERIAL FACTS HAVE BEEN SUPPRESSED OR MISSTATED.

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act.

Signature of Owner, Officer or Partner

Print or Type Name and Title

Date (month-day-year)