



Administered by:
Lockton Risk Services
(800) 250-7876

**PATENTS / TRADEMARKS /
COPYRIGHT SUPPLEMENTAL APPLICATION**

Name of Applicant:

1. Areas of Practice

Please provide a breakdown of the firm's intellectual property practice according to the percentage listed under "Patents, Trademarks & Copyrights" in the area of practice section of the application. Percentages listed must total 100%

A. Intellectual Property Litigation	%
B. Patent Infringement Counseling*	%
C. Domestic Patent Prosecution*	%
D. Foreign Patent Prosecution* (please provide a narrative description of such activities)	%
E. Trademark & Copyright Registration & Licensing	%
F. Other (specify)	%
TOTAL	100%

2. Industry Areas

Please provide a breakdown of the firm's intellectual property practice by indicating the percentages of gross revenues derived from intellectual property matters within the following industries. Percentages listed must total 100%

A. Chemical	%
B. Biotechnical	%
C. Pharmaceutical	%
D. Industrial	%
E. Mechanical	%
F. Computer (including hardware, software, semiconductors)	%
G. Electrical (other than computer)	%
H. Other (specify)	%
TOTAL	100%

3. Patent Searches

A. When undertaking a patent search, is it the policy and practice of the firm to set forth in an engagement letter the nature, scope and limitations of a proposed patent search? If No, please explain:	<input type="checkbox"/> Yes <input type="checkbox"/> No
B. Does the firm engage the services of third parties to carry out patent searches? If Yes, please explain:	<input type="checkbox"/> Yes <input type="checkbox"/> No
C. Is it the policy and practice of the firm to memorialize the results of a patent search in a written opinion letter?	<input type="checkbox"/> Yes <input type="checkbox"/> No
D. When rendering an opinion letter as to the results of a patent search, is it the policy and practice of the firm to qualify the opinion by reference to the nature, scope and limitations of the search conducted? If No, please explain:	<input type="checkbox"/> Yes <input type="checkbox"/> No

4. Filings

A. 1) Is the firm's responsibility for payment of maintenance fees, taxes or annuities clearly stated in the engagement letters? If No, please explain:	<input type="checkbox"/> Yes <input type="checkbox"/> No
2) If the client is responsible, or authorization is necessary, are notices of required payments sent well in advance of the due dates? If No, please explain:	<input type="checkbox"/> Yes <input type="checkbox"/> No
3) Is the system for sending such notices computerized?	<input type="checkbox"/> Yes <input type="checkbox"/> No
B. What calendar or docketing system is employed by the firm to record, monitor and comply with filing deadlines and other time limitations in connection with securing Domestic and Foreign patents?	<input type="checkbox"/> Yes <input type="checkbox"/> No

C. What policy and practice does the firm follow to ensure that the client is notified of all such deadlines and other time limitations? Yes No

5. Foreign Patents

A. To what extent is foreign patent work performed by:

1) The firm?

2) Associate counsel?

B. For foreign patent filings, is client made aware of the limited time frame for these filings and the additional requirements necessary to complete the filings? Yes No

If No, please explain:

C. Is the client requested to sign a statement when declining to file a patent both domestically and internationally? Yes No

If No, please explain:

D. Does the firm outsource to other entities for:

1) Searches Yes No

2) Payment of Maintenance/Annuity Fees Yes No

If Yes to either, does the firm confirm that the outsource entity carries professional liability insurance? Yes No

I understand the information submitted herein becomes a part of my Professional Liability Insurance Application and is subject to the same warranty and conditions.

THE APPLICANT REPRESENTS THAT THE ABOVE STATEMENTS AND FACTS ARE TRUE AND THAT NO MATERIAL FACTS HAVE BEEN SUPPRESSED OR MISSTATED.

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act.

Signature of Owner, Officer or Partner

Print or Type Name and Title

Date (month-day-year)